

Near-Early T-Cell ALL with Overexpression of BAALC and BCL-2 Genes, Non-Responsive to High-Dose Chemotherapy and Hematopoietic Stem Cell Transplantation, but with Impressive Response to Venetoclax

Mamaev NN^{1*}, Baykov VV¹, Shakirova AI¹, Kanunnikov MM¹, Babenko EV¹, Martynkevich IS², Uspenskaya OS³, Vlasova JJ, and Bondarenko SN¹

¹R.M. Gorbacheva Research Institute, Pavlov University, Russia.

²Russian Institute of Hematology and Transfusiology, Russia.

³Department of Hematology at Regional Hospital St. Petersburg, Russia

*Correspondence:

Professor Nikolay N. Mamaev, R.M. Gorbacheva Research Institute, Pavlov University, 12 Roentgena St, 197022, St. Petersburg, Russia.

Received: 03 Apr 2021; Accepted: 08 May 2022; Published: 14 May 2022

Citation: Mamaev NN, Baykov VV, Shakirova AI, et al. Near-Early T-Cell all with Overexpression of BAALC and BCL-2 Genes, Non-Responsive to High-Dose Chemotherapy and Hematopoietic Stem Cell Transplantation, but with Impressive Response to Venetoclax. *Insights Blood Disord.* 2022; 1(1): 1-5.

ABSTRACT

Clinical and laboratory data are presented of a 29 year female patient with BAALC- and BCL-2-positive T-cell acute lymphoblastic leukemia developed from early leukemic progenitors which was treated unsuccessfully with high-dose chemotherapy and allogeneic stem cell transplant (allo-HSCT), but revealed an impressive effect of venetoclax. For reasons beyond our control, allo-HSCT with myeloablative fludarabine-busulfan (14 mg/kg) conditioning regimen was performed at the peak of relapse when blast count in bone marrow aspirate was 77.5%, whereas level of BAALC-expressing earlier progenitors (EP) reached 186%. HSCT was ineffective, since the number of blasts on day+30 reached 86%, whereas the burden of BAALC-expressing EP decreased to the cutoff values. Later, at post-transplant stage, an impressive response to venetoclax coupled with hypomethylating agent was observed. Following allo-HSCT and combined venetoclax therapy a severe pancytopenia developed, which required the second transplantation of peripheral blood stem cells from her haplo-matched father. After transplantation hematopoietic recovery started on day 10+. Although bone marrow aspirate at this point was hypocellular, it contained all types of granulocytic and erythroid elements and 2.2% of blasts only.

Keywords

Near-Early adult T-ALL, BAALC+, BCL-2+, Hyperdiploid karyotype, Resistance to therapy, Response to venetoclax.

Introduction

T-cell acute lymphoblastic leukemia in adults is considered to be rare disease with inferior response linked with BAALC-overexpression and complex karyotypes with ≥ 3 cytogenetic alterations per metaphase [1,2]. Meanwhile, there is evidence, that early variant of T-ALL is characterized by high expression of gene BCL-2 and, hence, can be treated successfully with venetoclax [3-7]. It has been also shown, that T-ALL patients with higher levels of BAALC expression are more likely to have early T-ALL ($P < 0.0001$) and CD34 positivity ($P < 0.0001$). Meanwhile, the patients with high

BAALC had an inferior relapse-free survival (RFS, $P = 0.0008$) and overall survival (OS, $P = 0.0001$). Furthermore, rate of complete remission achievement in this cohort of patients was inferior, whereas cumulative incidence of relapses (CIR) was much higher and did not depend on whether HSCT was carried out or not. Four-year OS and EFS rates in patients with increased vs normal level of BAALC expression, were 18% vs 58% ($P = 0.0001$) and 21% vs 85% ($P = 0.0008$), respectively [1]. Recent data show that all abovementioned clinical and laboratory parameters are greatly improved in a case of high-dose risk chemotherapy followed by allogeneic hematopoietic stem cell transplantation (allo-HSCT), which was performed in remission [6,7].

Herein, we report a case of positive response to venetoclax of adult BAALC- and BCL-2-positive near early T-ALL resistant

to both high-dose chemotherapy and allo-HSCT, but responded impressively to post-transplant venetoclax therapy combined with hypomethylating agents.

Clinical Case Description

A 29-year-old female presented in March 2021 with a history of systemic lymph node enlargement and hepatomegaly. An affected cervical lymph node was excised, and histological examination was performed. At microscopy, lymph node structure appeared completely effaced, due to massive proliferation of medium-sized lymphoid cells with blast morphology and high mitotic index. Immunohistochemistry showed strong positivity for TdT, CD7, CD3, bcl-2 and moderate positivity for CD5 and c-myc in nearly all cells. Moreover, nearly all cells were moderately positive for

Pax-5 and, although somewhat heterogeneously, for CD19. CD34 appeared positive in more than 25% cells. Few cells (<10%) were CD33-positive. CD79a, CD22, myeloperoxidase, CD117 as well as CD4, CD8, CD1a, PD-1, CD20, Cyclin D1 tested negative (Figure 1). Bone marrow aspirate (22.04.21) contained 60.8% blast cells with immunophenotype as follows: CD45dim/SSC low, CD7+, CD2+, CD34+, CD38+, sCD3-, CD4-, CD8-, CD1a-, TCR-, CD117-, CD33-. CD19-, cytCD3+, MPO-, cytCD79a-. Taking into account unequivocal CD5 positivity of blast cells proven by immunohistochemistry, these findings were considered consistent with diagnosis of near-early T-ALL [6,7]. Cytogenetic analysis (22.04.21) revealed hyperdiploid in half out of 20 analyzed metaphases with the following karyotype: 2n=50-52, XX, +8, +10, +11, +14.

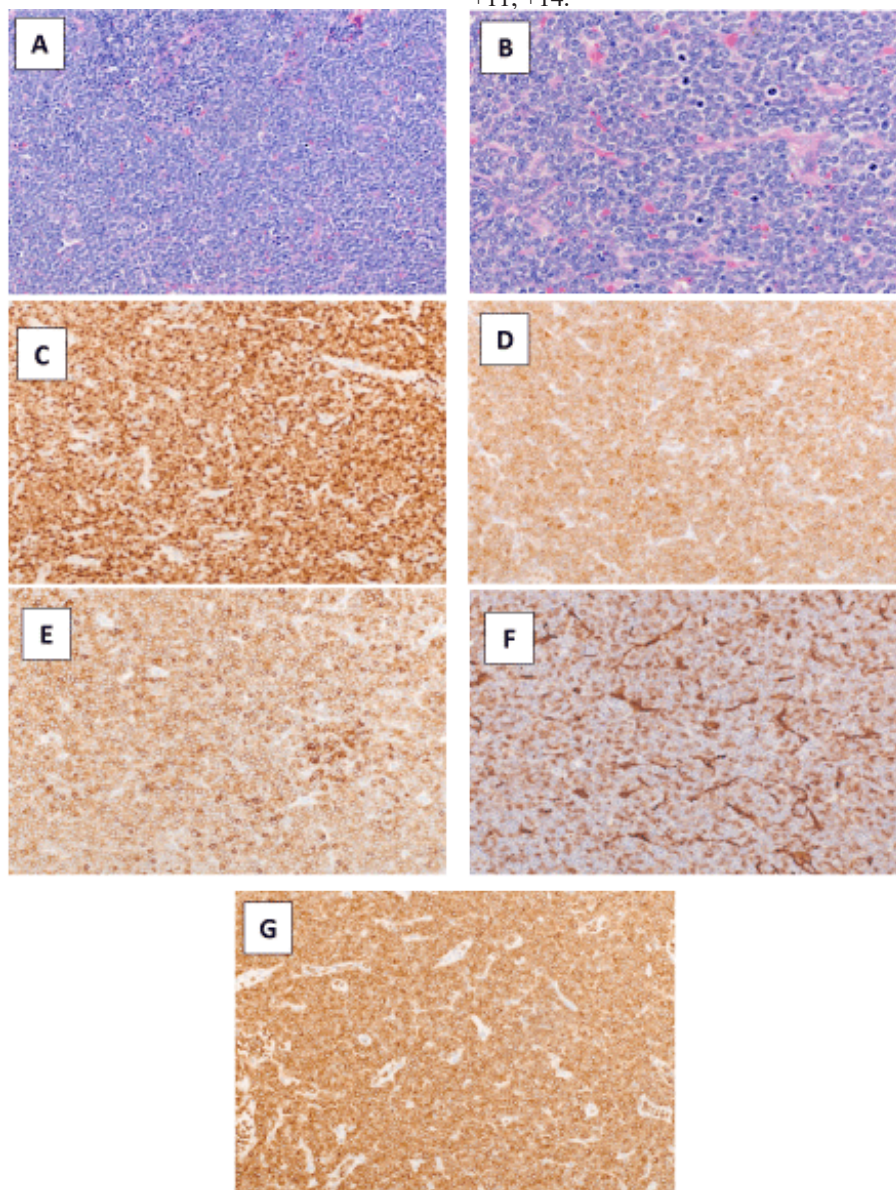


Figure 1: Near-ETP ALL. Lymph node biopsy. A: HE, x100, B:HE, x400, C-G: Immunoperoxidase, x200. **A.** Lymph node structure is effaced by proliferation of lymphoid cells with blastic features. **B.** Sheets of blast cells with round or slightly irregular nuclei, open chromatin with small nucleoli and scant cytoplasm. High mitotic activity. **C.** TdT expression in all neoplastic cells. **D.** Moderate CD3 expression in all neoplastic cells. **E.** CD5 expression in >75% of neoplastic cells. **F.** CD34 expression in ca. 25% of neoplastic cells. **G.** Uniform expression of bcl-2 in all neoplastic cells.

The first chemotherapy course according to the HyperCVAD + asparaginase protocol started on 26.04.21. As a result, the blast count in bone marrow aspirate decreased to 7%.

The 2nd similar course (20.05.21) did not also result in complete remission. Therefore, a search for unrelated bone marrow donor was initiated. Morphologic and cytogenetic remission was achieved only on 24.06.21, but lasted for a short time. Relapse with 75.5% blasts in the bone marrow sample developed prior to allo-HSCT. In addition, higher levels of *BAALC* and *WT1* genes (156% and 9633 copies, respectively) were detected. Despite complex clinical situation, a decision was made to perform salvage allo-HSCT from partially compatible (9/10) matched non-related donor without an attempt to achieve a novel remission or improvement of hematopoiesis. On 7.12.21 after myeloablative conditioning with FLU and BU 14mg/kg, 2.38x10⁶/kg of body weight CD34⁺ bone marrow cells were transplanted with minimal success. The study of bone marrow sample obtained soon after transplantation revealed 86% of immature blast cells, although the level of *BAALC* expression dropped to the cutoff values (25%) (Figure 2). The blast content was decreased after a course of combined therapy with venetoclax and azacitidine therapy (100 mg/day each for two weeks).

Since the patient developed secondary hypocellularity of bone marrow, the repeated HSCT from haploidentical related donor was performed on 28.01.2022. The total number of transplanted CD34⁺ peripheral blood progenitors was >8x10⁶/kg of body weight. On 1.04.22, the bone marrow aspirate proved to be normocellular without blast elements, showing restoration pattern of all basic haematopoietic lineages. This finding was associated with minimal levels of *BAALC* (2%) and *WT1* (10 copies/10⁴ ABL gene).

Discussion

Our study demonstrates the expected resistance of adult *BAALC*-positive hyperdiploid variant of near-e-T-ALL to both high-dose chemotherapy and allo-HSCT. The latter was performed unfortunately at the peak of relapse with high blast count and increased level of *BAALC* expression. Following the first HSCT, the number of blasts and *BAALC*-expressing earlier progenitors (EPs) changed in different ways. Whereas the number of immature blasts post-transplant increased to 86%, the number of *BAALC* - expressing EPs reached cutoff levels.

As recently shown by our group [8-10], the level of *BAALC*-expression in patients with AML, measured by means of RT-qPCR, reflect the approximate numbers of *BAALC*-expressing

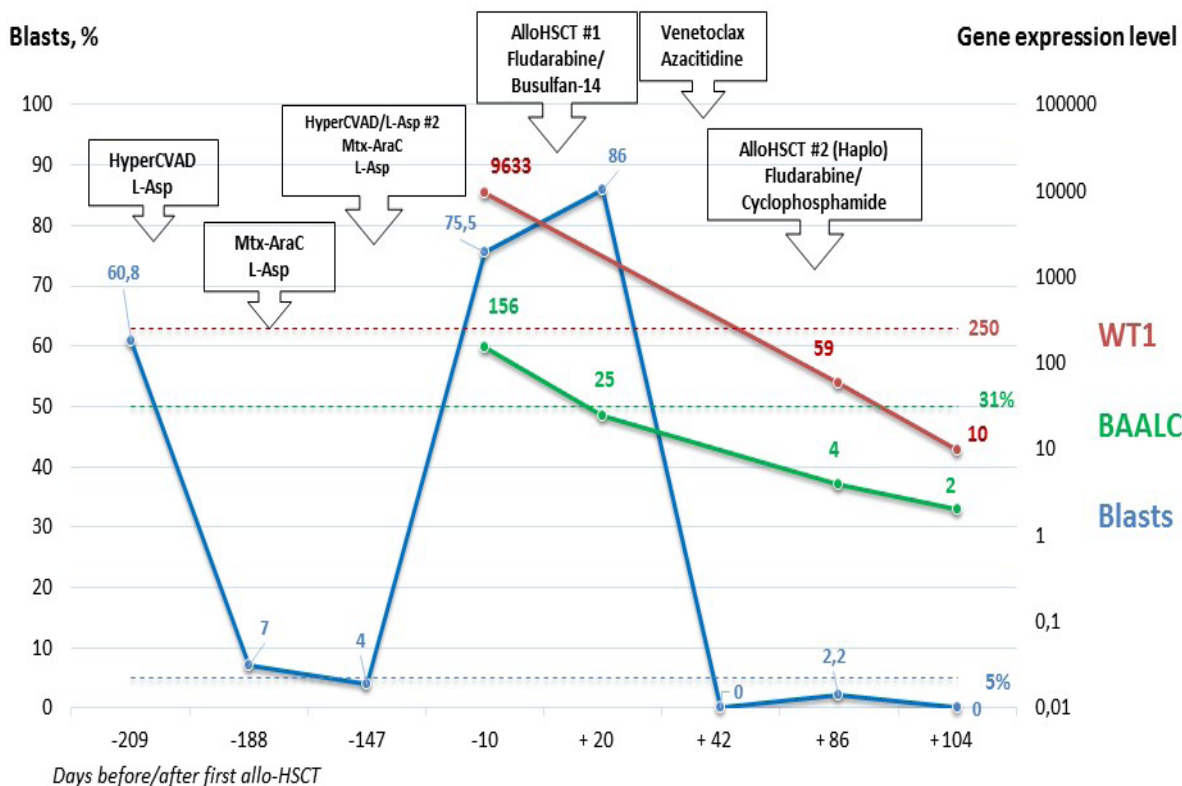


Figure 2: Time course of molecular changes in patient with near-early T-ALL who was subjected to allo-HSCT from partially (9/10) matched non-relative donor. The transplant was performed at relapse, with 77.5 % of blast cells in bone marrow and highly increased expression of *BAALC* (186%) and *WT1* genes (9639 copies). On D+20 post-transplant, the level of *BAALC* expression declined to the cutoff levels, but the number of marrow blasts increased to 86%. Their content was decreased after a course of combined therapy with venetoclax and azacitidine therapy (100mg/day each). Since the patient developed secondary hypocellularity of bone marrow, a repeated HSCT from her HLA-haploidentical father was performed on the Day+86 after the 1st allo-HSCT.

ELPs. Hence, one may suggest that normalization of *BAALC* expression in our patient with near-e-T-ALL at posttransplant stage may be directly linked with response to myeloablative conditioning regimen which appears to be completely ineffective if assessed by the blast counts. Meanwhile, the blast population was completely lost from the bone marrow after short course of venetoclax therapy. Hence, one may expect that treatment by venetoclax at pretransplant stage in this category of patients would be also effective, as demonstrated in several recent studies [11-20]. Our observation supports the idea [12] that venetoclax may be useful when preparing for HSCT in *bcl-2*-positive ALL. We have demonstrated that myeloablative conditioning regimen is effective with regard to *BAALC*-expressing ELPs, at least in the near-e-T-ALL case. Meanwhile, the bone marrow blasts were insensitive to conditioning regimen applied. Hence, a conclusion may be drawn which links general efficiency of HSCT in therapy-resistant cases with achieving maximal effect of venetoclax or some other targeted drugs which must be consolidated with respect to *BAALC*-expressing population of ELPs using a myeloablative conditioning regimen. This effect should be monitored in patients with *BAALC*-positive acute leukemias by means of RT-qPCR-based evaluation of *BAALC*-levels which, in our opinion, may reflect the numbers of *BAALC*-expressing ELPs. Since elevated levels of *BCL-2*, along with near-e-T-ALL, may be also present in some AML patients, and even, in B-ALL cases, this parameter, like as *BAALC*-expressing ELP numbers, should be also checked systematically, in order to use therapeutic potential of venetoclax for both controlled preparation for HSCT in *BAALC*-positive leukemias, and for treatment of post-transplant relapses.

Acknowledgments

The authors would like to acknowledge the assistance of Prof. Alexey B. Chukhlov in preparation of this paper.

References

- Baldus CD, Martus P, Burmeister Th, et al. Low ERG and *BAALC* expression identifies a new subgroup of adult acute T-lymphoblastic leukemia with a highly favorable outcome. *J Clin Oncol*. 2007; 25: 3739-3745.
- Genesca E, Morgades M, Gonzales-Gil C, et al. Adverse prognostic impact of complex karyotype (≥ 3 cytogenetic alterations) in adult T-cell acute lymphoblastic leukemia (T-ALL). *Leukemia Res*. 2021; 109: 106612.
- Moore VDG, Schlis KD, Sallan SE, et al. *BCL-2* dependence and ABT-737 sensitivity in acute lymphoblastic leukemia. *Blood*. 2008; 32111: 2300-2309.
- Hantel A, Wynne J, Lacayo N, et al. Safety and efficacy of the *BCL* inhibitors Venetoclax and navitoclax in combination with chemotherapy in patients with relapsed/refractory acute lymphoblastic leukemia and lymphoblastic lymphoma. *Clin Lymphoma Myeloma Leuk*. 2018; 18: S184-S185.
- Richard-Carpentier G, Jabbour E, Short NJ, et al. Clinical Experience With Venetoclax Combined With Chemotherapy for Relapsed or Refractory T-Cell Acute Lymphoblastic Leukemia. *Clin Lymphoma Myeloma Leuk*. 2020; 20: 212-218.
- Sin C-f, Man MP. Early T-Cell Precursor Acute Lymphoblastic Leukemia: Diagnosis, Updates in Molecular Pathogenesis, Management, and Novel Therapies. *Front Oncol*. 2021; 11: 750789.
- Farhadfar N, Li Y, May WS, et al. Venetoclax and decitabine for treatment of relapsed T-cell acute lymphoblastic leukemia: A case report and review of literature. *Hematology/Oncology and Stem Cell Therapy*. 2021; 14: 246-251.
- Mamaev NN, Shakirova AI, Gudozhnikova YaV, et al. Crucial role of *BAALC*-expressing progenitor cells in emergence and development of post-transplantation relapses in patients with acute myeloid leukemia. *Clin Oncohematology*. 2020; 13: 75-88.
- Mamaev NN, Shakirova AI, Barkhatov IM, et al. Crucial role of *BAALC*-expressing leukemic precursors in origin and development of posttransplant relapses in patients with acute myeloid leukemia. *Int J Hematol*. 2020; 8: 127-131.
- Mamaev NN, Shakirova I, Kanunnikov MM, et al. *BAALC*-Expressing Earlier Leukemic Progenitors: Crucial Role in AML Relapses with Evaluation of Their Treatment and Prevention Efficacy. *Insights Blood Disord*. 2022; 1: 1-5.
- Zhang X, Li J, Jin J, et al. Relapsed/refractory Early T-Cell Precursor Acute Lymphoblastic Leukemia was salvaged by Venetoclax Plus HAG Regimen. *Ann Hematol*. 2020; 99: 395-397.
- Arora S, Vachhani P, Bachiashvilly K, et al. Venetoclax with Chemotherapy in Relapsed/Refractory Early T-Cell Precursor Acute Lymphoblastic Leukemia. *Leuk Lymphoma*. 2021; 62: 2292-2294.
- Starza RL, Cambo B, Pierini A, et al. Venetoclax and bortezomib in relapsed/refractory early T-cell precursor acute lymphoblastic leukemia. *J Clin Oncol Precision Oncology*. 2019; 3: 1-6.
- Bond J, Graux C, Lhermitte L, et al. Early response-based therapy stratification improves survival in adult early thymic precursor acute lymphoblastic leukemia: a group for research on adult acute lymphoblastic leukemia study. *J Clin Oncol*. 2017; 35: 2683-2691.
- Numan Y, Alfayez M, Maiti A, et al. First report of clinical response to venetoclax in early T-cell precursor acute lymphoblastic leukemia. *J Clin Oncol Precision Oncology*. 2018; 2: 1-6.
- Chonghaile TN, Roderick JE, Glenfield C, et al. Maturation stage of T-cell acute lymphoblastic leukemia determines *BCL-2* versus *BCL-XL* dependence and sensitivity to ABT-199. *Cancer Discov*. 2014; 4: 1074-1087.
- McEwan A, Pitiyarachchi O, Viiala N. Relapsed/refractory ETP ALL successfully treated with venetoclax and nelarabine as a bridge to allogeneic stem cell transplant. *Hemasphere*. 2020; 4: e379.
- Jain N, Stevenson KE, Winer ES, et al. A multicenter phase study combining venetoclax with mini-hyper-CVD in older adults with untreated and relapsed/refractory acute lymphoblastic leukemia. *Blood*. 2019; 134: 3867-3867.

-
19. Kroeze E, Loeffen JLC, Poort VM, et al. T-cell lymphoblastic lymphoma and leukemia: different diseases from a common premalignant progenitor? *Blood Adv.* 2020; 4: 3466-3473.
20. Gibson A, Trabal A, McCall D, et al. Venetoclax for Children and Adolescents with Acute Lymphoblastic Leukemia and Lymphoblastic Lymphoma. *Cancers.* 2022; 14: 150.